

# Foundations of XML Data Manipulation

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Query Languages for SSD  
and XML

## Some names

- UnQL: BunDavHil96
- Lorel: AbiQuaMcH97
- XSLT, XPath, XQuery: google:w3c xslt, w3c xpath, w3c xquery
- XML-QL: XMLQL, xquery99
- XDuce: HosPie03
- TQL: CarGhe03
- YATL, Strudel...

## Path expressions

```
<bib>
  <book year="1995">
    <author> <first>Serge</first> <last>Abiteboul</last> </author>
    <author> <first>Richard</first> <last>Hull</last> </author>
    <author> <first>Victor</first> <last>Vianu</last> </author>
    <publisher>Addison</publisher>
    <price>60</price>
  </book>
  <book year="1993">
    <title>Formal Semantics</title>
    <author><first>Glynn</first> <last>Winkel</last> </author>
    <publisher>MIT Press</publisher>
    <price>42</price>
  </book>
</bib>
```

## Path expressions

- *Document* /bib/book/author/first:
  - <first>Serge</first>, <first>Richard</first>, <first>Victor</first>, <first>Glynn</first>
- Semantics:
  - All nodes you find starting from *Document* and walking down a /bib/book/author/first path
  - More generally:  $[[p]] = \{ \langle n, m \rangle \mid m \in n/p \}$
- The interesting case:
  - Data is a graph
  - Paths is a regular expression

## A formal definition

- Assume a graph  $G=(N,E)$  with  $E \subseteq N \times A \times N$
- A word  $w$  in  $A^*$  determines a relation  $|w|$  on  $N \times N$ :
  - $n | \epsilon | n$
  - $(n, a, n') \in E \Rightarrow n | a | n'$
  - $n | w | n'$  and  $n' | w' | n'' \Rightarrow n | w.w' | n''$
- A language  $L \subseteq A^*$  determines a relation:
  - $n | L | n' \Leftrightarrow n | w | n'$  for some  $w \in L$
- A regexp  $r$  determines a relation:
  - $n | r | n' \Leftrightarrow n | \text{Lang}(r) | n'$
- We allow regexp on labels as well

## Regular path expressions

- "book"."[T|t]title":
  - {book.title, book.Title}
- book.(Title|title):
  - {book.title, book.Title}
- ".\*".title:
  - {book.title, author.title, name.title,...} i.e. {\_.title}
- book.refs\*.title:
  - {book.title, book.refs.title, book.refs.refs.title,...}
- (".\*").\*.name:
  - {name, \_.name, \_.\_.name, \_.\_.\_.name,...}

## Syntax for path expressions

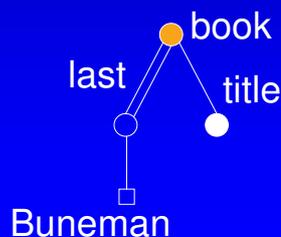
- $a ::= \varepsilon \mid \text{letter} \mid . \mid a a \mid [a|a] \mid a^*$
- $r ::= \varepsilon \mid a \mid \_ \mid r.r \mid r|r \mid e^*$
- Abbreviations:
  - $r? = r|\varepsilon$
  - $r+ = r.r^*$

## XPath syntax

- $p, p' ::= / p \mid p/p' \mid \text{axis}::\text{nodetest}$
- $\text{nodetest} ::= * \mid \text{tag} \mid \text{text}() \mid \text{element}(*)$   
 $\text{element}(\text{tag}) \mid \text{attribute}(*)$  | ...
- $\text{axis} ::= \text{child} \mid \text{parent} \mid \text{d-o-s} \mid \text{a-o-s}$   
| descendant | ancestor  
| following | preceding  
| following-sibling | preceding-sibling
- $p/\text{nodetest} = p/\text{child}::\text{nodetest}$
- $p//q = p/\text{d-o-s}::*/q$
- $.. = \text{parent}::\text{node}()$

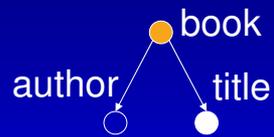
## Tree Patterns

- Titles of books:  
`$doc //book/title`
- Titles of books by Buneman:  
`$doc //book[//last/text() = 'Buneman']/title`

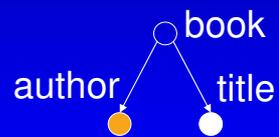


## Tree Patterns (Twigs)

- book[author]/title
- book/author/../title



- author/../book/title



- Up pointers are slightly more expressive than twigs

Are path expressions a query language?

## The structure of XML query languages

- FROM BindingExpression(X): generate a set of bindings for X
- WHERE Condition(X): filter some bindings out
- SELECT Result(X): evaluate Result(X) once for each binding, and find a way to merge the results
- Path expressions come handy in the FROM clause

## MicroXQuery

- Reference: Colazzo et al., Types for Path Correctness of XML Queries, ICALP'04.  
for \$b in \$doc /bib/book,  
    \$a in \$b /author  
where \$b /@year > 2000  
return <libro> \$b/title, \$a </libro>

## MicroXQuery

- for \$b in \$doc /bib/book  
let \$a = \$b /author  
where \$b /@year > 2000  
return <libro> \$b/title, \$a </libro>
- for \$b in \$doc /bib/book  
where \$b /@year > 2000  
return <libro> \$b/title, \$b /author </libro>

## Tree Patterns

- Titles of books by Buneman:  
for \$x in \$doc //book  
where \$x/authors/last/text() = 'Buneman'  
return \$x/title
- Same as:  
\$doc //book[last/text() = 'Buneman']/title

## Trees to relations to trees

```
let $authors = $doc /bib/book/author
for $a in distinct($authors)
return <booksByAuth> $a,
      for $bb in $doc /bib/book
      where $a isin $bb /author
      return $bb/title
</booksByAuth>
```

## Result

- From
  - <bib>
  - (<book> (<author></author>)\*
  - </book>)\*
  - </bib>
- To
  - (<booksByAuth>
  - <author>...</author>
  - (<title>...</title>)\*
  - </booksByAuth>)\*

## Yet another syntax

```
BBAS[
  let $authors = $doc /bib/book/author
  for $a in distinct($authors)
  return booksByAuth[
    $a,
    for $bb in $doc /bib/book
    where $a isin $bb /author
    return $bb/title
  ]
]
```

## Type

- Type:  
BBAS[booksbyaut[ author[String],  
title[String]\* ]\*]
- If:  
\$doc: bib[ book [ title[String],  
author[String]\*  
]\*  
]

## Tree manipulation

- Micro XQuery is able to do “nested relations”, i.e. trees with fixed depth
- It is unable to produce arbitrarily deeply nested trees:
  - Structural recursion
- It is unable to reverse a graph:
  - Skolem functions

## Structural recursion

- $f(v) \Rightarrow v$
- $f(\text{author}[x]) \Rightarrow \text{autore}[f(x)]$
- $f(l[x]) \Rightarrow \text{if } l = \text{year then } 0 \text{ else } l[f(x)]$
- $f(0) \Rightarrow 0$
- $f(x,y) \Rightarrow f(x),f(y)$

## Structural recursion

- $f$ : collect authors, if any
- $f(\text{author}[x],y) \Rightarrow \text{authors}[\text{author}[f(x)], g(y)], h(y)$
- $g(\text{author}[x]) \Rightarrow \text{author}[f(x)]$
- (else)  $g(l[x]) \Rightarrow 0$
- $h(\text{author}[x]) \Rightarrow 0$
- (else)  $h(l[x]) \Rightarrow l[f(x)]$
- Implicit:  $f(a[x],y) \Rightarrow f(a[x]),f(y); f(a[x]) \Rightarrow a[f(x)]$

## Structural recursion vs. query languages

- Paths go down
- for – where – selects iterates horizontally
- Structural recursion does both
- Supported by:
  - XDuce (SR only)
  - XSL (SR only)
  - XQuery (FWR + recursion)

# XSL

```
<xsl:stylesheet version="1.0" ...>
  <xsl:template match="ul[parent::ul]">
    <li>
      <ul>
        <xsl:apply-templates select="@*|node()"/>
      </ul>
    </li>
  </xsl:template>
  <xsl:template match="@*|node()">
    <xsl:copy>
      <xsl:apply-templates select="@*|node()"/>
    </xsl:copy>
  </xsl:template>
</xsl:stylesheet>
```

# Skolem functions

- $\text{new}(a,b,c,\dots)$ : a (new) node
- $n\text{-lab-}n$ : an edge
- for  $\$x\text{-}\$lab\text{-}\$y$  in Edges
- return  $\text{new}(\text{node},\$y)\text{-}\$lab\text{-}\text{new}(\text{node},\$x)$
- for  $\$x\text{-}\$lab\text{-}\$y$  in Edges
- return  $\text{new}(\text{node},\$x)\text{-}\$lab\text{-}\text{new}(\text{node},\$y)$ ,
- $\text{new}(\text{node},\$y)\text{-home-}\text{new}(\text{root})$

## Logical languages: TQL

- Matching through ambient-logic formulas:

```
FROM $db |= .paper[ (.author[$X] or .autore[$X])  
                    and not .editor[$X]  
                ]  
SELECT author $X]
```

Returns:

```
author[Cardelli] | author[Gordon] | author[Ghelli]
```

## Find All Keys

```
from $Bib  
  |= bib[!book[.$k[T]]  
        And foreach $X.  
          Not (.book.$k[$X] | .book.$k[$X])  
        ]  
select key[$k]
```

## The Logic

- $F \vdash_{\sigma} 0$  iff  $F=0$
- $F \vdash_{\sigma} A \mid B$  iff  $\exists F', F''. F = F' \mid F'', F' \vdash_{\sigma} A, F'' \vdash_{\sigma} B$
- $F \vdash_{\sigma} \eta[A]$  iff  $F = \sigma(\eta)[F'], F' \vdash_{\sigma} A$
- $F \vdash_{\sigma} A \wedge B$  iff  $F \vdash_{\sigma} A$  and  $F \vdash_{\sigma} B$
- $F \vdash_{\sigma} \neg A$  iff not  $(F \vdash_{\sigma} A)$
- $F \vdash_{\sigma} \eta = \eta'$  iff  $\sigma(\eta) = \sigma(\eta')$
- $F \vdash_{\sigma} \exists x.A$  iff  $\exists n. F \vdash_{\sigma\{n/x\}} A$
- $F \vdash_{\sigma} \exists X.A$  iff  $\exists F'. F \vdash_{\sigma\{F'/X\}} A$
- $F \vdash_{\sigma} \mu \xi.A$  iff  $F \vdash_{\sigma} A\{\mu \xi.A/\xi\}$  (is circular...)
- De Morgan duals:  $\parallel, \eta[\Rightarrow A], F, \vee, \neq, \forall, \nu \xi.A, ! \eta[A]$

## Logical languages: monadic datalog

- doc //book/author X:
  - result(Y) :- desc(doc,X), name(X,book), child(X,Y), name(Y,author)

## The full hybrid mu-calculus

- $A ::= i \mid \text{lab} \mid \langle s \rangle A \mid A \wedge A \mid \neg A \mid \mu \xi. A \mid \xi$
- $E, L, w \vdash_{\sigma} i$  iff  $L(i) = \{w\}$
- $E, L, w \vdash_{\sigma} \text{lab}$  iff  $w \in L(\text{lab})$  ( $L(w) = \text{lab}$ )
- $E, L, w \vdash_{\sigma} \langle s \rangle A$  iff  $\exists w'. w.s.w'$  in  $E$  and  $E, L, w' \vdash_{\sigma} A$
- $E, L, w \vdash_{\sigma} \langle -s \rangle A$  iff  $\exists w'. w'.s.w$  in  $E$  and  $E, L, w' \vdash_{\sigma} A$
- $E, L, w \vdash_{\sigma} A \wedge B$  iff  $E, L, w \vdash_{\sigma} A$  and  $E, L, w \vdash_{\sigma} B$
- $E, L, w \vdash_{\sigma} \neg A$  iff not  $(E, L, w \vdash_{\sigma} A)$
- $E, L, w \vdash_{\sigma} \mu \xi. A$  iff  $E, L, w \vdash_{\sigma} A\{\mu \xi. A/\xi\}$  (is circular...)
- Over XML:
  - Just two steps  $s$ :  $\downarrow/\uparrow$  (firstchild) and  $\rightarrow/\leftarrow$  (nextsibling)
  - $E$  is a finite tree
  - $L(\text{lab})$  is a partition of the nodes
- De Morgan duals:  $[s]A, \vee, \nu \xi. A$

## Encoding axes

- $\langle \text{child} \rangle A = \langle \downarrow \rangle (\mu \xi (A \vee \langle \rightarrow \rangle \xi))$
- $\langle \text{parent} \rangle A = \mu \xi (\langle \uparrow \rangle A \vee \langle \leftarrow \rangle \xi)$
- $\langle \text{desc} \rangle A = \langle \text{child} \rangle (\mu \xi (A \vee \langle \text{child} \rangle \xi))$
- $\langle \text{ancestor} \rangle$
- $\langle \text{following-sibling} \rangle$
- $[\text{everywhere}] A = \nu \xi (A \wedge [\downarrow] \xi \wedge [\rightarrow] \xi)$
- $\langle \text{somewhere} \rangle A = \mu \xi (A \vee \langle \downarrow \rangle \xi \vee \langle \rightarrow \rangle \xi)$

## Logical languages: modal mu calculus

- $\langle m, n \rangle$  in  $[[p]]$  iff  $E, L, i \rightarrow \{n\}, m \models \langle\langle p \rangle\rangle$
- `//book/author:`  
`<desc>(book  $\wedge$  <child>(author  $\wedge$  i))`
- $\langle m, n \rangle$  in `//book[title]/author`  
 $i \rightarrow n, m \models$   
`<desc>(book and (<child>title  
and (<child>author and i))`
- $\langle m, n \rangle$  in `//book[not title]/author`  
 $i \rightarrow n, m \models$   
`<desc>(book and (not <child>title)  
and (<child>author and i))`

## Logical languages: MSO

- MTran: transformation language based on MSO  
[HinabaHosoya..]
- MSO: FO plus set quantification
- Able to express all regular tree query

## MTran formulas

- //img: x in <img>
- /\*\*[date]: ex1 y: x/y and y in <date>
- //a{x}/b{y}: x in <a> and x/y and y in <b>

## MTran transformation

- Add an <li> around to any <ul> whose parent is an <ul>:
  - { visit x :: <ul>/x & x in <ul> :: li[x] }
- *visit* copies all unmatched nodes, *gather* does not:
  - <ul>{gather x :: x in <a> :: li[x]}</ul>

## Nesting

- {gather b :: b in <book> ::  
    {gather a :: b/a & a in <author> ::  
      book-author[b,a] } }

## Evaluations

- Compile the formula to a tree automaton
  - Non-elementary in the worst case
  - MONA often works well
- Evaluate the automaton
  - Linear algorithm (non trivial, since the query is n-ary)

## Tree Automata

- Morally, the same expressive power (over trees) as:
  - Monadic datalog
  - Tree patterns
  - MSO
  - Mu-calculus
  - XDuce type system
  - ...
- Things are not so simple...

## Readings

- Xquery99: comparison of XML-QL, YATL, Lorel, XQL
- BonCer00: comparison of Lorel, XML-QL, XML-GL, XSL, XQL
- ColGheAl06: MicroXQuery
- [www.w3.org/TR/xquery](http://www.w3.org/TR/xquery)|xpath|xslr: XQuery, XPath, XSLT
- BunDavHil96: UnQL
- AbiQuaMcH97: Lorel
- HosPie03: XDuce
- klarlundSchweintick: XPath, XQuery, XSLT
- CarGhe03: TQL
- Toel-lics0203: Logics, Automata